

INTOSAI-Donor Cooperation

Working together to strengthen SAIs in developing countries

9th Quarterly Update
July-September 2013

6th INTOSAI-Donor Steering Committee Meeting: 2011 Global Call for Proposals Success

The 6th Steering Committee Meeting of the INTOSAI-Donor Cooperation, hosted by the Chinese National Audit Office on 18 and 19 October 2013 in Beijing, China, saw record attendance of 76 INTOSAI and donor representatives. The meeting reported on the considerable progress under the 2011 Global Call for Proposals in supporting the capacity development initiatives of Supreme Audit Institutions (SAIs) in need of additional support. Since the 2012 meeting, the number of proposals being taken forward has more than doubled. By September 2013, 25 of the 55 proposals submitted under the 2011 Global Call for Proposals have started or had funding approved, including all 6 regional proposals. Based on this significant success, the 2013 Global Call for Proposals was launched, providing SAIs with the opportunity to submit SAI-owned concept notes. (cont. page 2).

France signs the INTOSAI-Donor Memorandum of Understanding (MoU)

During the Steering Committee Meeting, the French Ministry of Foreign Affairs became the 21st donor signatory to the MoU of the Cooperation, making the Cooperation ever more inclusive of the diversities within the global donor community. The MoU was signed by the French Ambassador to China, Sylvie-Agnès Bermann.



Didier Migaud, President, SAI France.

Launch of the 2013 Global Call for Proposals

The 2013 Global Call for Proposals was launched at the 6th INTOSAI-Donor Steering Committee Meeting. This is an opportunity for all SAIs, INTOSAI Regions and INTOSAI bodies to put forward demand led proposals based on the needs of SAIs in developing countries.



Joajo Augusto Ribeiro Nardes, Minister, SAI Brazil, handing in the proposal from OLACEFS to Einar Gørrissen, Head of the INTOSAI-Donor Secretariat.

The deadline to submit a draft concept note is **31 January 2014** and the deadline for submission of the final concept note is **31 March 2014**. The INTOSAI-Donor Secretariat, SAI Norway and SAI Sweden, will review and provide feedback on draft concept notes in order to further improve applications before final submission. The concept notes will be distributed to individual providers of support (SAIs and donors) and to a SAI Capacity Development Fund (SAI CDF) which is currently under development.

The templates for concept notes and proposals are available in English, French, Spanish, Arabic, Portuguese and Russian. Concept notes may be submitted in any of these languages. The templates and more information on the process are available here:

<http://www.idi.no/artikkel.aspx?MId1=98&AId=628>

6th INTOSAI-Donor Steering Committee Meeting

(cont . from page 1)

The Steering Committee further agreed to build on the findings of the final report on Extractive Industries Audit, with INTOSAI recommending the establishment of a Working Group on Extractive Industries Audit. A tool to measure performance of SAIs is also making significant progress, with over 170 assessors and trainers certified to use the pilot version following seven training courses held around the world. So far, around 20 SAIs have volunteered to conduct a SAI PMF assessment. Finally, donors expressed considerable interest in the training for their staff on working with SAIs that will be piloted in 2014.

Read more about the discussion items of the 6th Steering Committee Meeting throughout the newsletter. Key summary points, press release, and the 2014 Work Plan approved at the meeting are available on: www.idi.no.

MoU between INTOSAI-Donor Cooperation and SAI Brazil



Joajo Augusto Ribeiro Nardes, Minister, SAI Brazil, and Jørgen Kosmo, Auditor General, SAI Norway.

SAI Brazil signed a Memorandum of Understanding (MoU) with the INTOSAI Development Initiative, represented by the Chairman of the IDI Board Jørgen Kosmo during the 6th Steering Committee Meeting. SAI Brazil is providing one staff member to work 50% for the INTOSAI-Donor Secretariat in 2013 and 2014, focusing on the SAI PMF.

Honor Flanagan New Donor Vice-Chair of the Steering Committee

We are pleased to inform you that Ms. Honor Flanagan, Team Leader Financial Accountability and Anti-Corruption (FACT), in the Governance, Open Societies and Anti-Corruption Department at the Department for International Development (DFID), UK is the new Donor Vice-Chair of the Steering Committee.



Honor is a Senior Governance Adviser at DFID and was recently appointed as the FACT Team Leader. Her team participates in the international dialogue on public financial management reform and anti-corruption, and supports DFID country offices in these areas. Honor also represents DFID on other global partnerships. Previously, Honor was Head of the Joint Donor Team in South Sudan, responsible for oversight of multi-donor programmes, including the Multi-Donor Trust Fund for South Sudan, the Capacity Building Trust Fund and the Basic Services Fund. She brings a wealth of experience to her new role, accumulated over twenty years' working as a programme manager of development projects in governance, including thirteen years in fragile and conflict affected states in Africa (Sierra Leone, South Sudan) and in Asia (Bangladesh).



Participants at the 6th INTOSAI-Donor Steering Committee

Working with SAIs: Training for Donor Staff

The World Bank's Middle East and North Africa (MENA) Region will host an on-site training for development agency staff working with SAIs. This will be the first pilot of the course developed for the INTOSAI Donor Cooperation by SAI UK, with inputs from SAI France. It will be delivered in cooperation with the INTOSAI-Donor Secretariat. The course outline was presented at the 6th Steering Committee Meeting, where donor representatives were also asked to consider whether their organisations had appropriate e-learning platforms to support the future on-line version of the course.

Following the presentation, participants at the meeting expressed their appreciation and demand for the course. The pilot phase will help ensure tailoring the content to varying audiences. Its modular structure will allow participants to focus on learning blocks which are of high priority to their individual needs. It is foreseen that the course will be offered as an e-learning facility. Once set up as an on-line course, the training will be offered periodically on a cost-recovery basis.

The course includes, but is not limited to, an introduction to roles of SAIs in different legal context and political economies, recent developments in public sector auditing, and needs assessments and strategic planning. It also covers the role of SAIs as auditors of development funds and programs, and the role of donor agencies as advocates of SAIs' value and benefits.

The first pilot training will be held in February in MENA. The exact date and location of the training will be announced shortly. The course addresses staff of governance and/or public financial management departments of development agencies who are responsible for managing or supporting capacity development programs strengthening SAIs. It is also useful for staff working on financial oversight issues as well as other development agency staff with an interest in accountability and transparency. Staff of development agencies interested in joining the training are invited to contact INTOSAI.donor.secretariat@idi.no to register.

Strengthening SAI Ability to Develop Needs Based Capacity Development Proposals

In 2011 the first Global Call for Proposals (GCP) for SAI capacity development initiatives in need of additional support was carried out across the INTOSAI community. One of the conclusions from this first GCP was the need to support SAIs in strengthening their ability, skills and capacity in writing sound funding applications. Based on a need expressed by the CREFIAF Secretariat, training material was developed and training in application writing was piloted in July 2012.



Training in CREFIAF on developing funding proposals

Prior to the new round of GCP launched in October 2013, the Steering Committee agreed in London to offer workshops to SAIs and INTOSAI regional bodies, subject to demand. The workshops seek to improve the ability of SAIs to develop sound, needs based funding proposals that include robust results frameworks. This will help them prepare their application for the 2013 Global Call for Proposals. The course also seeks to improve participants' ability to develop an institutional diagnosis as a basis for the planning and programming of capacity building initiatives. Based on expressed needs, workshops have been conducted for SAIs in CREFIAF in September and for SAIs in OLACEFS in October 2013. A training for SAIs in ARABOSAI is scheduled for December 2013.



Training in OLACEFS on developing funding proposals

INTOSAI Working Group on Extractive Industries to be Established

Based on a mapping report by the INTOSAI-Donor Secretariat, the INTOSAI Knowledge Sharing Committee suggested the establishment of a Working Group on Extractive Industries Audit to the INTOSAI Governing Board. The 64th INTOSAI Governing Board agreed on SAI Uganda as chair of the Working Group.

A mapping of experiences, best practices, challenges and needs of SAIs in auditing Extractive Industries was conducted by the INTOSAI-Donor Secretariat in cooperation with AFROSAI-E. It showed a need for a capacity development program tailored to SAI needs and general knowledge sharing of SAIs and other stakeholders. The report proposed the establishment of an institutional anchor within INTOSAI to take forward these, and possibly other, approaches.

The idea to create a community of practice or network was first raised at an AFROSAI-E workshop on extractive industries in February 2013. Following this workshop, a group of participants, including SAI Uganda, the INTOSAI-Donor Secretariat and AFROSAI-E, drafted a first concept note for this purpose.

SAI PMF Training Rolled-out Globally

By end of 2013, eight SAI PMF training courses will have been held across the INTOSAI regions, including courses in English, Spanish and French. The first training course in Arabic is planned for early 2014.

SAI PMF Training Courses - 2013



IDI Global Survey 2013

The XXI INCOSAI endorsed the IDI Strategic Plan 2014-18, which includes an updated mandate to reflect changes in IDI's role and the environment in which it operates. Strategic changes include expansion into institutional capacity development, the possibility to provide bilateral support to SAIs at the country level – focusing on being a 'provider of last resort' for SAIs in fragile states, and provision of support to SAIs in developed countries on a cost recovery basis.

To provide a baseline for measurement of successful implementation of the Strategic Plan, IDI announced the launch of a triennial Global Survey. To minimize the burden on SAIs, the survey will also be used to follow-up on the first global stocktaking of the SAI community in 2010, to ascertain global and regional demand for capacity development initiatives, and to collect data for future research purposes. The Global Survey 2013 is planned to be distributed to all INTOSAI member SAIs and INTOSAI Regions in December 2013. Recipients will be asked to provide their responses by 10 February 2014. The global survey report is expected for June 2014. It will be presented to the IDI Board and the INTOSAI-Donor Steering Committee, and made publicly available.

Forthcoming Events

Below is a selection of key events which may be of particular interest to stakeholders. For the complete INTOSAI calendar, see www.intosai.org.

November 7-8: AISCCUF annual meeting, Rabat, Morocco

November 11-15: SAI PMF training, Rabat, Morocco

December 9-12: XXIII General Assembly of OLACEFS, Santiago de Chile, Chile

December 16-18: ARABOSAI Seminar for writing funding applications, Tunis, Tunisia

February 2014: Training for donors working with SAIs, date and location TBC

March 3-7: SAI PMF training, Nadi, Fiji

March, 2014: 22nd Conference of Commonwealth Auditor-General, Malta

Q1, 2014: SAI PMF training, Bhutan

INTOSAI-Donor Steering Committee Leadership

Chair (INTOSAI): *Osama Faqeeh, General Auditing Bureau, Saudi Arabia*

Chair (Donors): *Jennifer Thomson, World Bank*

Vice-Chair (INTOSAI): *Gene Dodaro, U.S. Government Accountability Office*

Vice-Chair (Donors): *Honor Flanagan, Department for International Development, UK*

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